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## THESIS

DESIGN AND ANALYSIS OF A GENERALIZED CLASS OF FIN-LINE FILTERS

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and

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September 1983

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obtained. Filters fabricated and tested in Ku and Ka bands by other researches are analyzed by the new program. Good agreement between predicted response and published performance is noted.						



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Design and Analysis of a Generalized Class of Fin-Line Filters

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## I. INTRODUCTION

Integrated circuits for use below about 3 GHz demonstrate clear advantages in terms of size, weight, cost and electrical performance, over alternative techniques. These advantages often accrue as a result of component miniaturization. However, as the frequency is increased to the centimeter and millimeter regimes, the resultant excessive miniaturization creates problems associated with critical mechanical tolerances, and questionable production uniformity. Moreover, concomitant undesireable electrical performance involving radiation loss, spurious coupling, dispersion and higher order mode propagation becomes unacceptable [Ref. 1]. Over the last decade, integrated fin-line structures have received increased attention as attractive media for low-insertion loss design applications which avoid excessive miniaturization, yet offer the potential for low-cost batch-processed production techniques.

The fin-line filter structure consists simply of conductive strips, or fins, which bridge the broad walls of a rectangular waveguide. That is, for waveguide operated in a TE<sub>mo</sub> mode, the conducting fins are suspended in the E-plane. Metal sheet structures suspended in the center of the waveguide (herein referred to as "Class I" structures) offer the simplest possible structure for analysis and



design. These unilateral center-line fins present fabrication and suspension difficulties. Bilateral fin-line filters may be constructed as printed circuits on low-loss dielectric substrates and inserted in the center of the guide. These ("Class II") filters offer the advantage of construction by well developed printed circuit techniques, and relatively straight forward analysis [Refs. 2, 3], but still present the problem of mechanically fixing the fin-line structure in the center of the guide. Clearly, fin-line structures printed on dielectric substrate and affixed to the waveguide sidewalls ("Class III" structures) offer mechanical advantages over the other two class types. The authors know of no previous investigations concerning Class III structures.

The purpose of this thesis study was to develop and validate a computer aided design (CAD) program for the design and analysis of all three class types of E-plane fin-line filters. To this end, the appropriate mathematical expressions were derived for a generalized fin-line structure.

The generalized structure has two different dielectric materials in the guide. The Class II filter described above corresponds to the case where the higher dielectric constant material is in the center of the guide. The Class III filter has the higher permittivity material along the waveguide walls. The generalized Class I filter has the same permittivity throughout the fin-line structure.



The derived transcendental equations relating the mode wave number values in different zones of the waveguide apply to all class types. However, when the difference in dielectric constants is large the trigonometric functions in the transcendental relationship may become hyperbolic. Moreover, Class II and Class III structures require different but equivalent expressions in the numerical analysis to avoid singularity occurances.

The analysis method employed is a mode-matching technique uniquely applied to include the effects due to an arbitrary number of higher order modes. A convergence dependence analysis assures the most efficient regional distribution of the limited number of higher order modes retained for the numerical analysis.

Validation of the complete computer program for Class. I and II filters was initially conducted by analyzing  $k_{\rm u}$  and  $k_{\rm a}$  band fin-line filters designed and constructed by Shih [Ref. 2], and Arndt, et al [Ref. 3]. Finally, several Class I and Class III filters were designed, constructed and tested locally. These test filters were resonant in X-band (8-12 GHz) to match available test hardware.



## II. THEORY

For the types of fin-line structures in waveguides considered here, each geometrical discontinuity may be considered in two distinct coordinate frames. It is useful, therefore, to expand the unknown fields in both regions in terms of their associated normal modes. Field continuity requirements are then imposed on the modes at the interface of the regions. Finally, the orthogonality property of the normal modes is used to derive an infinite set of linear simultaneous equations for the unknown modal coefficients. This is the essence of the mode-matching technique for the solution of boundary value problems [Ref. 4]. Moreover, this procedure leads directly to the scattering matrix for the discontinuity. Since the fin-line is a series of such discontinuities separated by transmission line sections, a cascading technique may be employed to develop an equivalent scattering matrix for the entire structure.

In principle, the effects of all the possible modes upon each of the others is inherently included, and the analysis is exact. In practice, the number of modes considered must be limited to a reasonably finite set. Accurate and efficient convergence of the subsequent numerical calculations is achieved by following the guidelines suggested by the studies of Shih and Gray [Ref. 5].



The derivation of the equivalent scattering matrix for the generalized fin-line structure follows. Detailed intermediate steps of the development are contained in the appropriate appendices. The basic geometry of the E-plane fin-line is shown in Fig. II-l. It is important to note that the assumed "magnetic wall" (x=0), along which the parallel magnetic field is zero, implies  $TE_{mo}$  type (m odd) incident waveforms. The computer simulation is based upon a  $TE_{10}$  incident wave.

### A. EXPRESSIONS FOR NORMAL MODES AND EIGENVALUES

By assuming incident modal electric (E) fields of the TE<sub>mo</sub> type, field symmetries allow the simplified waveguide geometry shown in Fig. II-2. The conducting fin is assumed to be infinitely thin. The dielectric materials in the guide are assumed to be lossless, homogeneous, and istropic. Also, the fin-line structures and substrates must be constructed to maintain symmetry about the x = 0 plane. For simplicity of discussion, the guide is considered to be comprised of two regions, and two zones. The regions are Z dependent. Region I refers to the guide before the metal fin boundary, and Region II refers to the guide after (plus Z direction) the fin boundary. The zones are X dependent. Zone 1, sometimes called the lower zone, refers to the condition:

0 < x < d.



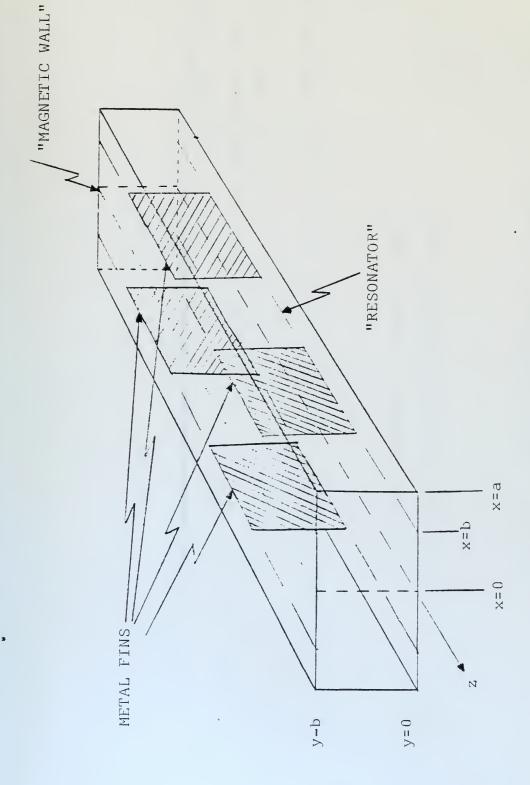
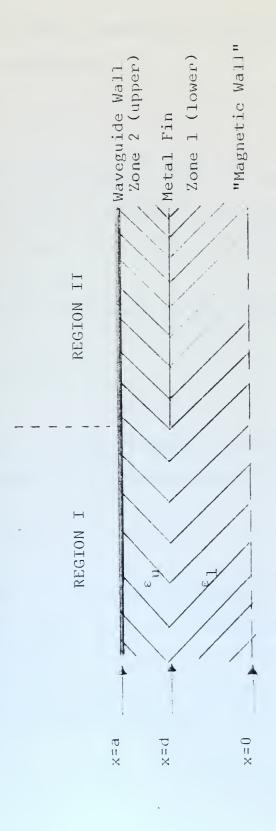


Fig. II-1. E-Plane Bilateral Fin-line Geometry





 $\epsilon_{\rm u}$  = Relative Dielectric Constant, upper  $\epsilon_{\rm l}$  = Relative Dielectric Constant, lower

Fig. II.2. Simplified Waveguide Geometry



while Zone 2, sometimes called the upper zone, refers to the condition:

$$d \leq x \leq a$$
.

Modal eigenvalues in Region I use lower-case subscripts, while those of Region II use upper-case. Regional divisions are occassionally indicated explicitly by the superscripts. "I" and "II".

The Z dependence of all fields is of the form:

$$e^{-\gamma_m z}$$

where  $\gamma_m$  is the propagation constant of the m<sup>th</sup> mode. Likewise, the time dependence of all fields is of the form:

where a is the radian frequency of the electromagnetic (EM) wave. These terms are understood to multiply all field expressions, and will not be explicitly shown, except where it is more convenient, or constructive to do so.

The general solution to the wave equation has the form:

[A<sub>jk</sub> cos k<sub>j</sub>x<sub>k</sub> + B<sub>jk</sub> sin k<sub>j</sub>x<sub>k</sub>] 
$$e^{i\omega t - \gamma_j t}$$



where the k are the associated wave numbers and the  $x_k$  are the appropriate directional variables, x or y.

For our purposes, the electric field in the Y direction,  $E_y$ , is of greatest interest. In Region I, the appropriate expressions for the normal modes of the  $E_y$  field are found to be (Appendix A):

$$\Lambda_{n} \cos k_{\ln} \times 0 \le x \le d$$

$$\phi_{n} = \{$$

$$\Omega_{n} \sin k_{un}(a-x) \qquad d \le x \le a \qquad (II.A.1)$$

with

$$\Omega_{n} = \left[ \left( \frac{\sin^{2} k_{nn}(a-d)}{\cos^{2} k_{ln}d} \right) \left( \frac{d}{2} + \frac{\sin^{2} k_{ln}d}{4k_{ln}} \right) \right]$$

$$+ (\frac{a-d}{2} - \frac{\sin 2k_{un}(a-d)}{4k_{un}})]^{-1/2}$$

$$\Lambda_{n} = \Omega_{n} \frac{\sinh_{un}(a-d)}{\cosh_{1n}d} .$$



The wave numbers for the n<sup>th</sup> mode are related by the transcendental equations:

$$k_{ln}^{2} = k_{un}^{2} - k_{o}^{2}(\varepsilon_{u} - \varepsilon_{l})$$
 (II.A.2)

$$k_{ln} \tan k_{ln} d = k_{un} \cot k_{un} (a-d)$$
 (II.A.3)

where

$$k_0 = \frac{2\pi}{\lambda_0} = \frac{2\pi f}{c} .$$

Equation II.A.2 is the usual dispersion relation, where  $\epsilon_1$  and  $\epsilon_u$  are the relative dielectric constants of the two zones. The transcendental equations (II.A.2) and (II.A.3) are solved simultaneously to determine the value of the wave number for each mode in both zones.

The corresponding expressions for Region II are similarly found to be:

$$\psi_{P} = \{ \frac{(\frac{2}{d})^{1/2} \operatorname{cosk}_{LP} x}{(\frac{2}{a-d})^{1/2} \operatorname{sink}_{UP} (z-x)} : d \le x \le a .$$
 (II.A.4)



The wave number for the pth mode is:

$$k_{LP} = \frac{(2P-1)\pi}{2d}$$
 ;  $0 \le x \le d$ 

$$k_{UP} = \frac{P\pi}{(a-d)}$$
 ;  $d \le x \le a$ .

It should be noted that in Region II, the eigenvalues for  $k_{LP}$  are uncoupled from the eigenvalues for  $k_{UP}$  so that the mode number, p, runs from 1 to  $\infty$  individually in each zone. The propagation number for the m<sup>th</sup> mode in Region I is given by:

$$\gamma_{\rm m}^{\rm I} = (K_{1\rm m}^2 - \epsilon_1 k_0^2)^{1/2}$$
  $0 \le x \le a$ .

The Region II propagation numbers are given by:

Positive real values for the propagation number corresponds to evanescent waves. Propagating wave modes occur when the propagation number is imaginary.



## B. MODAL ANALYSIS

Any physically realizable electric field may be written as some linear combination of the normal modes. That is:

$$E_{y}^{I} = \sum_{n=1}^{\infty} A_{n} \phi_{n}$$

and

$$E_{y}^{\text{II}} = \sum_{D=1}^{\infty} D_{D} \psi_{D} .$$

The  $\phi_n$  and  $\psi_p$  are the normal modes of the E field in Region I and Region II, respectively. The A and D are the associated amplitudes.

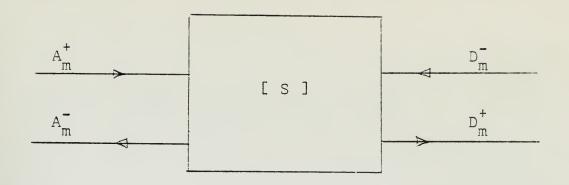
The interface discontinuity may be analyzed as a two port junction, with values as defined in Fig. II-3. The boundary conditions on the  $\phi_n$  and  $\psi_p$  [Ref. 4] require that:

and

$$\sum_{n=1}^{\infty} Y_{n}^{I} A_{n}^{+} \phi_{n} - \sum_{n=1}^{\infty} Y_{n}^{I} A_{n}^{-} \phi_{n} = \sum_{p=1}^{\infty} Y_{p}^{II} D_{p}^{+} \psi_{p}$$

$$- \sum_{p=1}^{\infty} Y_{p}^{II} D_{p}^{-} \psi_{p}.$$





 $A_{m}^{+}$  = Coefficients of Modes Incident from the Left

 $A_{m}^{-}$  = Coefficients of Modes leaving junction to the Left

 $D_{m}^{-}$  = Coefficients of Modes Incident from the Right

 $D_m^{\dagger}$  = Coefficients of Modes leaving junction to the Right.

[S] = Scattering Matrix

Fig. II-3. Two-port Junction Model of Discontinuity



The characteristic impedance of the  $n^{th}$  mode,  $Y_n$ , is defined by:

$$-j\omega\mu(H_z)_n = \frac{\partial \phi n}{\partial z} = -\gamma_n\phi_n \rightarrow (H_z)_n = Y_n\phi_n \ .$$

Whence,

$$Y_{n} = \frac{\gamma n}{j\omega u} . \tag{II.B.1}$$

The orthogonality of the normal modes implies that:

$$\sum_{n=1}^{\infty} (A_n^+ + A_n^-) \int_{0}^{a} \phi_n \psi_m dx = \sum_{p=1}^{\infty} (D_p^+ + D_p^-) \int_{0}^{a} \psi_p \psi_m dx$$

$$= \sum_{p=1}^{\infty} (D_{p}^{+} + D_{p}^{-}) \delta_{pm}$$

where  $\delta_{\text{DM}}$  is the kronecker delta.

We define the coupling coefficients,  $H_{mn}$ , by:

$$H_{mn} \equiv \int_{0}^{a} \phi_{n} \psi_{m} dx$$
.



Whence,

$$\sum_{n=1}^{\infty} (A_n^+ + A_n^-) H_{mn} = D_m^+ + D_m^-.$$

Similarly, we can write:

$$\sum_{n=1}^{\infty} Y_{n}^{I}(A_{n}^{+} - A_{n}^{-}) \int_{0}^{a} \phi_{n} \phi_{m} dx = Y_{m}^{I}(A_{m}^{+} - A_{m}^{-})$$

$$= \sum_{p=1}^{\infty} Y_p^{\text{II}}(D_p^+ - D_p^-) \int_0^{a} \psi_p \phi_m dx$$

or, since the p is a dummy summation index,

$$Y_{m}^{I}(A_{m}^{+} - A_{m}^{-}) = \sum_{n=1}^{\infty} Y_{n}^{II} (D_{n}^{+} - D_{n}^{-}) H_{nm}.$$

By representing the A and D amplitudes as vectors, and the H coupling coefficients and Y impedance terms as matrices, these relationships may be expressed more succinctly as:

$$[H_{mn}][A_n^+ + A_n^-] = [D_m^+ + D_m^-]$$

$$[Y_{m}^{I}] [A_{m}^{+} - A_{m}^{-}] = [Y_{n}^{II}][H_{mn}]^{T} [D_{m}^{+} - D_{m}^{-}].$$

The  $[H_{mn}]^T$  represents the transpose of the matrix  $[H_{mn}]$ . The elements of  $[H_{mn}]$  may be evaluated by direct substitution of the expressions for the normal modes (Eqs. II.A.1 and II.A.4) into the definition (II.B.2). From Appendix B we write:

$$H_{mn} = \Lambda_n \left(\frac{2}{d}\right)^{1/2} \left((-1)^m \cos k_{ln}d\right) \left(\frac{k_{lm}}{k_{ln}^2 - k_{lm}^2}\right)$$

+ 
$$\alpha_{n} (\frac{2}{a-d})^{1/2} ((-1)^{m} \sin k_{un} (a-d)) (\frac{k_{Um}}{k_{un} - k_{Um}^{2}})$$
.

The scattering matrix for a single junction is defined in Appendix C to have matrix elements (which are themselves matrices) as follows:

$$[S_A] = \begin{bmatrix} S_{A11} & S_{A12} \\ S_{A21} & S_{A22} \end{bmatrix}$$

$$\begin{split} \mathbf{S}_{\text{All}} &= [\mathbf{Y}^{\text{I}} + \mathbf{H}^{\text{T}}\mathbf{Y}^{\text{II}}\mathbf{H}]^{-1}[\mathbf{Y}^{\text{I}} - \mathbf{H}^{\text{T}}\mathbf{Y}^{\text{II}}\mathbf{H}] \\ \mathbf{S}_{\text{Al2}} &= 2[\mathbf{Y}^{\text{I}} + \mathbf{H}^{\text{T}}\mathbf{Y}^{\text{II}}\mathbf{H}]^{-1}[\mathbf{H}^{\text{T}}\mathbf{Y}^{\text{II}}] = [\mathbf{I} - \mathbf{S}_{\text{All}}]\mathbf{H}^{\text{T}} \\ \mathbf{S}_{\text{A2l}} &= 2[\mathbf{I} + \mathbf{H}(\mathbf{Y}^{\text{I}})^{-1}\mathbf{H}^{\text{T}}\mathbf{Y}^{\text{II}}]^{-1}\mathbf{H} = \mathbf{H}[\mathbf{I} + \mathbf{S}_{\text{All}}] \\ \mathbf{S}_{\text{A22}} &= [\mathbf{I} + \mathbf{H}(\mathbf{Y}^{\text{I}})^{-1}\mathbf{H}^{\text{T}}\mathbf{Y}^{\text{II}}]^{-1}[\mathbf{H}(\mathbf{Y}^{\text{I}})^{-1}\mathbf{H}^{\text{T}}\mathbf{Y}^{\text{II}} - \mathbf{I}] = -\mathbf{H}[\mathbf{S}_{\text{All}}]\mathbf{H}^{\text{T}} \,. \end{split}$$



Note that each of the individual elements, H, Y, T, and I are themselves matrices. For a single fin-line strip there is a scattering matrix associated with the left boundary (minimum Z),  $[S_A]$ , described above, a transmission matrix associated with the width of the strip,  $[T_D]$ , and a scattering matrix associated with the right boundard,  $[S_B]$ . The expression for an equivalent scattering matrix for the net effect of  $[S_A]$ ,  $[T_D]$ , and  $[S_B]$ , is developed in detail in Appendix D. The result is:

$$[S_E] = [S_{E11} S_{E12}]$$
 $S_{E21} S_{E22}$ 

where:

$$S_{E11} = [S'_{A11}] + [S'_{A12}][I - S_{B11} S'_{A22}]^{-1} [S_{B11} S'_{A21}]$$

$$S_{E12} = [S_{A12}^{i}][I - S_{B11} S_{A22}^{i}]^{-1}[S_{B12}]$$

(II.B.4)

$$S_{E21} = [S_{A21}^{i}][I - S_{B22} S_{A11}^{i}]^{-1}[S_{B21}]$$

$$S_{E22} = [S_{A21}^{*}][I - S_{B22} S_{A11}^{*}]^{-1}[S_{B22} S_{A12}^{*}] + [S_{A22}^{*}]$$

and

$$S_A^{\dagger} = [T_D][S_A][T_D^{\dagger}]$$
.



The equivalent scattering matrix for a fin-line with and arbitrary number of fins may be determined by repeated application of equations (II.B.4), with the appropriate transmission matrices used in the cascade.



# III. COMPUTER AIDED DESIGN PROGRAM

The CAD (computer aided design) program has two functions:

1) design of a filter (description of physical dimensions)

based upon user specified characteristics; and 2) analysis

of a physically described fin-line filter. The user has

the option of directly entering the analysis portion of the

CAD if filter dimensions have been determined by some other

means. In most cases, however, the user will specify the

desired response of the fin-line filter (bandedge frequencies,

passband ripple and upper skirt rejection) and the CAD will

determine the filter dimensions. These dimensions are then

automatically passed to the analysis portion of the CAD.

The design portion of the CAD is built upon the theoretical work of Levy [Refs. 6, 7] and contains a modified form of a subroutine developed by Shih in [Ref. 2]. The design technique is fundamentally a conventional network synthesis. The insertion-loss characteristic of the distributed filter is approximated by the equation:

$$L = 1 + h^{2} T_{n}^{2} \left[ \frac{\lambda_{g}}{\lambda_{go}} \frac{\sin(\lambda_{g}/\lambda_{go})}{\sin \theta_{o}'} \right].$$

where:

h is the passband ripple  $\lambda_{\rm g}$  is the guide wavelength



 $\lambda_{\text{go}}$  is the guide wavelength at the filter center frequency

$$\sin \theta_0' = \frac{\lambda_{gl}}{\lambda_{g0}} \sin \frac{\lambda_{g0}}{\lambda_{gl}} = \frac{\lambda_{g2}}{\lambda_{g0}} \sin \frac{\lambda_{g0}}{\lambda_{g2}}$$

 $\lambda_{gl}(\lambda_{g2})$  is the guide wavelength at filter bandedge,  $f_l(f_2)$   $T_n$  is the first-kind Chebyshev polynomial of degree n n is the number of filter resonators.

The insertion loss equation is evaluated for the input specifications to determine the number of resonators required. To properly determine the number of resonators for the general case, it was necessary to develop subroutines to solve simultaneously the transcendental equations for the wave numbers of the eigenmodes. The functional dependency of the insertion loss equation, above, on guide wavelength is ambiguous for the Class II and Class III filter. Thus, it was also necessary to determine an "effective" guide wavelength which accounts for the fact that the wavelength changes as the wave propagates from one dielectric region into another. It is this effective guide wavelength which is used in Levy's formula. The required reflection coefficients of the n+l fins are then derived from the Chebyschev polynomial. Next, the scattering matrix for a finite septum (Eqs. II.B.3) is evaluated by a bisection technique to determine the n+l fin dimensions which satisfy



these reflection coefficient requirements. Finally, the resonator dimensions are determined so that the electrical length of each resonator is (1/2)  $\lambda_{\rm go}$ .

With the dimensions of the filter thus determined, the CAD then performs a complete frequency response analysis based upon the mode-matching technique described in Sections II.A and II.B. It should be noted that the equalripple Chebyshev polynomial expression very accurately matches the computed response when the filter is narrow band (less then 4% bandwidth). The agreement between the Chebyshev filter approximation and the mode-matching scattering analysis deteriorates as the filter bandwidth increases. A thorough discussion of this phenomenon is given by Shih in [Ref. 8] along with new formulae which account for the frequency dependencies of the step-impedances. However, excellent agreement between design criteria and predicted response has been obtained by employing an empirically derived offset to the lower bandedge frequency. That is, if the user specifies the filter bandedges to be Fl and F2, the design portion is actually computed for bandedge frequencies:

Fl' = Fl(1 - 
$$(\frac{2(F2-F1)}{F2+F1})^2$$
), and F2.



The program listing given in Appendix F includes those subroutines which were developed as a consequence of the previously discussed theory. Subroutine TREQ develops eigenmode wave numbers for all three filter classes. Subroutine PROPAG determines the corresponding propagation numbers. Subroutine SMATRI determines the values of the H matrix coupling coefficients, and the elements of the scattering matrix. Subroutines CASCAD, DSUM, and TRANSM are used to determine the net effect of several cascaded fins and resonators, as discussed in Appendix E.

Certain subroutines used in the design portion of the program are substantially the work of Shih [Ref. 2], and are not included in the program listing. Also omitted are certain mathematical and algebraic routines required for the matrix manipulations.



## IV. PROGRAM VALIDATION

#### A. OVERVIEW

The first phase of program validation was conducted as critical subroutines became available. For example, the subroutine which determines wave mode numbers was tested for special circumstances for which the values could be verified by means of a hand calculator. A series of tests were then made to assure convergence to this limiting case. Professor Y. C. Shih kindly modified subroutines extracted from his work [Ref. 2] to output values at intermediate stages of his analysis routine. These numerical values were of interest because they were determined from closedform expressions for the reflection coefficients, and employed a residue calculus technique. Thus, the corresponding values determined by the new program were evaluated from a completely different set of expressions and based upon a fundamentally different approach to the problem. Correspondence between equivalent values was considered good to within the accuracy of the numerical process.

Predicted filter responses were compared to actual filter performance to validate further the computer aided design and analysis program. Dimensions for Class I and Class II filters described by Shih [Ref. 2] and Arndt, et al, [Ref. 3] were inserted into the analysis portion of



the CAD and filter performance was predicted over an appropriate frequency range. The agreement between predicted response and published filter performance was excellent. Lastly, final validation of the CAD was accomplished by means of locally designing, constructing and testing three Class I and two Class II fin-line filters.

# B. FILTER CONSTRUCTION AND TEST

The locally constructed test filters were designed to be resonant within the 8 to 12 GHz band. This frequency band choice was selected to simplify both filter construction and test.

The three Class I filters were manually cut with scissors and razor blades from a 2 mil(0.002 inch) copper foil. The narrow band Class III filter was made by manually cutting away the 2 mil copper layer from 1/3" copper clad substrate (Rexolite 1422). The substrate for the wideband Class III filter was a slab of styrofoam cut from a packing form.

Conducting fins were cut from 2 mil copper tape and pressed onto the styrofoam slabe to form the filter structure.

The test fixture used was a specially machined ten inch aluminum section of WR-90 compatible waveguide (0.9 by 0.4 inch, internal), modeled after a design by Knorr [Ref. 9]. This guide consisted to two longitudinal sections which were bolted together. The transverse dimension of the



Class I filter was made equal to the test fixture exterior dimension. It was a simple matter, therefore, to separate slightly the test guide halves, slip in the fin-line structure, and tighten the clamping bolts. While this introduced a 2 mil dimension distortion in the center area of the test fixture, no adverse effects were noted.

The fin-line structure of the Class III filter was pressed into the opened waveguide groove. A small spot of rubber cement served to hold the dielectric substrate against the guide wall.

Filter electrical performance was measured with the aid of an HP-8409 automated network analysis system.

## C. RESULTS

A plot of normalized throughput power versus frequency for seven filters is shown in Figures IV-1 through IV-7, for Filters #1 through #7, respectively. Filters #1 through #4 and #7 were fabricated by the authors. For these figures the continuous curve is a plot of the predicted filter response generated by the CAD. The circles contain measurement data points. Filters #5 and #6 are representative filters described by Arndt, et al [Ref. 3]. Again, the line is the CAD generated plot, but the data points on these curves were taken from figures contained in [Ref. 3]. Table IV-1 contains the physical descriptors of the filters.



Filters #1 and #2 are Class III type structures; filters #3, #4 and #7 are Class I; filters #5 and #6 are Class II.

In general, the shape of the filter response corresponds well to the simulated filter. Actual filter passband ripple was more than predicted, and varied from a best case of 0.1 dB (#1) to a worst case of 3.0 dB (#2). Best case insertion loss (Filters #1 and #7) was about 0.3 dB.

Modifications to existing filters and multiple construction attempts at the same design suggests that the majority of the discrepancy in predicted and actual response was due to error in the hand-out filter dimensions.

The good agreement between predicted and actual filter response is readily seen for filters #4, #6 and #7 (Figures IV-4, IV-6, IV-7). In other instances the agreement is less apparent because of the expanded scale of the plot. For example, the discrepancy between predicted and actual response of filter #1 may be almost entirely accounted for by a shift in center frequency of about 150 MHz. This 150 MHz shift represents about a 1.7% error in center frequency and could result from a construction error in resonator dimension of about 0.3 mm.

Filter #7 is particularly interesting because it demonstrates the utility of the program. This filter was designed to satisfy a requirement of a physics researcher. Total time logged on the computer was about 15 minutes.



A copy of the output to the CRT is given in Appendix G.

The CAD determined filter dimensions were scribed onto a sheet of copper foil and the filter was cut out by hand.

Total construction time was about one hour. Figure IV-8 is a copy of the test equipment output, with notations added. The minimum measured insertion loss was 0.28 dB at 8.604 GHz. Passband ripple was measured at 0.39 dB. The lower 3 dB point was measured at 8.360 GHz and the higher 3 dB point was measured at 8.823 GHz. Thus, the CAD analysis values for the 3 dB frequency cutoffs of about 8.37 GHz and 8.85 GHz compare to within 0.3% of the measured values. The researcher considered the more than 60 dB of out-of-band rejection provided by this filter to be quite adequate.

## D. DISCUSSION

Experiences related to the design, fabrication and test of the fin-line filters motivates us to catalogue a number of observations which may be useful to others.

First, it should be stressed that the filters constructed were very crude by industry standards. Dimensional tolerances are estimated at ± 0.4 mm. Thus, the dimensional accuracy of the smallest fins is roughly bounded by ± 40%. The reasonably good electrical performance of these filters was, therefore, all the more striking. Also, it should be noted that the approximate 150 MHz shift in center frequency for filter #1 (narrow band Class III) can be the result of about a 0.3 mm error in the construction of the resonator dimension.

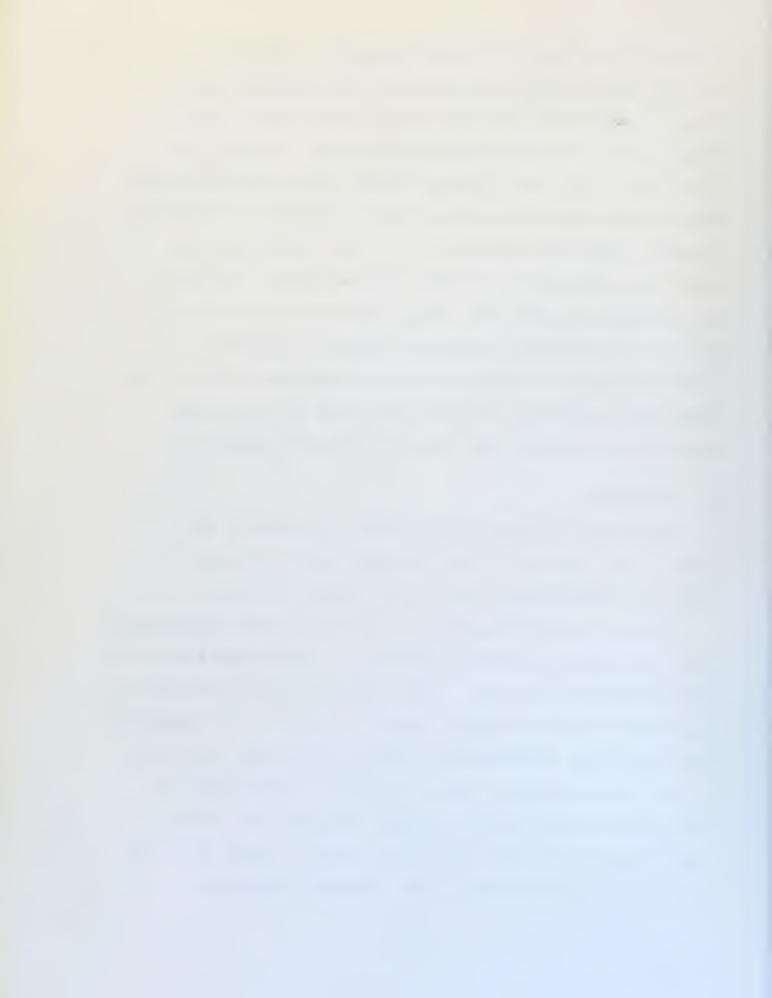


TABLE IV-1 DESIGN DATA FOR CAD VALIDATION TEST FILTERS

Filter #	1	2	3	4	5	6	7
a(cm)	1.143	1.143	1.143	1.143	.7895	.188	1.143
d(cm)	0.508	0.508	0.508	0.508	.0127	.00635	0.508
ε <sub>u</sub> (upper)	2.550	1.030	1.000	1.000	1.0	1.0	1.000
$\varepsilon_1$ (lower)	1.000	1.000	1.000	1.000	2.22	2.22	1.000
Fl(GHz)	8,625	9.950	8.450	11.30	15.00	66.0	8.400
F2(GHz)	8.775	10.95	8.850	11.75	15.60	67.0	8.800
# Strips	3	6	4	4	4	4	7
# Res	2	5	3	3	3	3	6
Sl (cm)	.0959	0.1485	.0732	.4995	.2075	.0347	.0906
S2 (cm)	.4928	0.7782	.4185	1.4252	.7760	.2038	.4794
s3 (cm)	.0959	1.0040	.4185	1.4252	.7760	.2038	.5961
s4 (cm)		1.0040	.0732	.4995	.2075	.0347	.6134
S5 (cm)		0.7782					.5961
S6 (cm)		0.1485					.4794
s7 (cm)					****		.0906
Rl (cm)	1.5044	1.1435	1.9991	.9398	.8400	.1895	2.0480
R2 (cm)	1.5044	1.1142	2.0728	.9265	.8400	.1900	2.1227
R3 (cm)		1.1104	1.9991	.9398	.8400	.1895	2.1280
R4 (cm)		1.1142				~~	2.1280
R5 (cm)	****	1.1435			~		2.1227
							2.0480



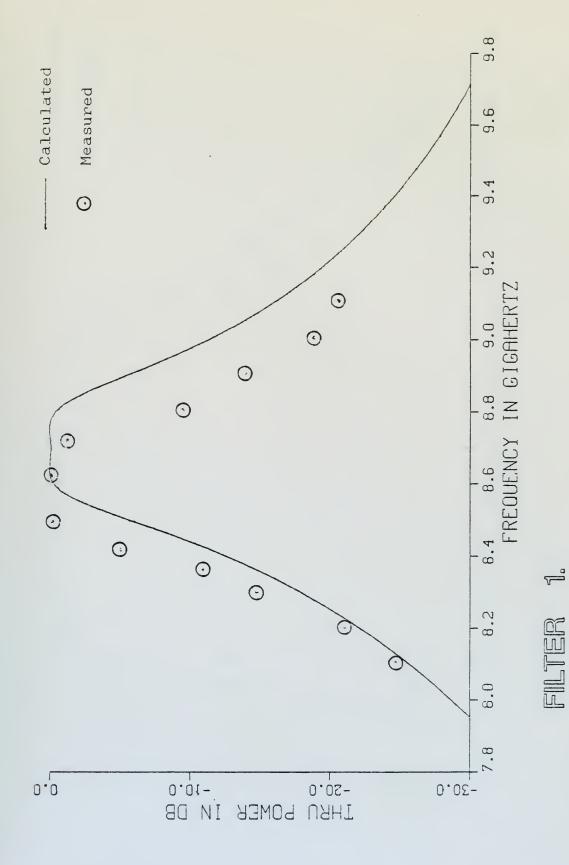


Fig. IV-1. Calculated and Measured Insertion Loss of Fin-line #1



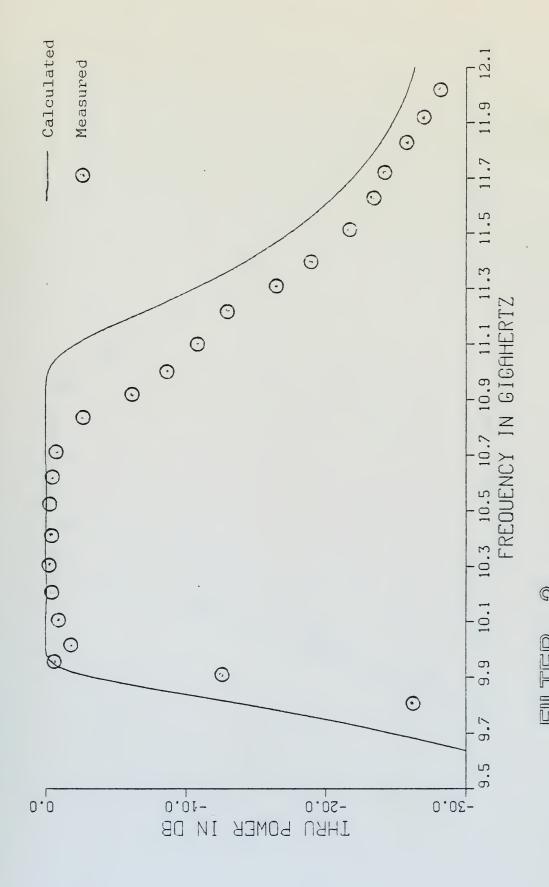


Fig. IV-2. Calculated and Measured Insertion Loss of Fin-line #2



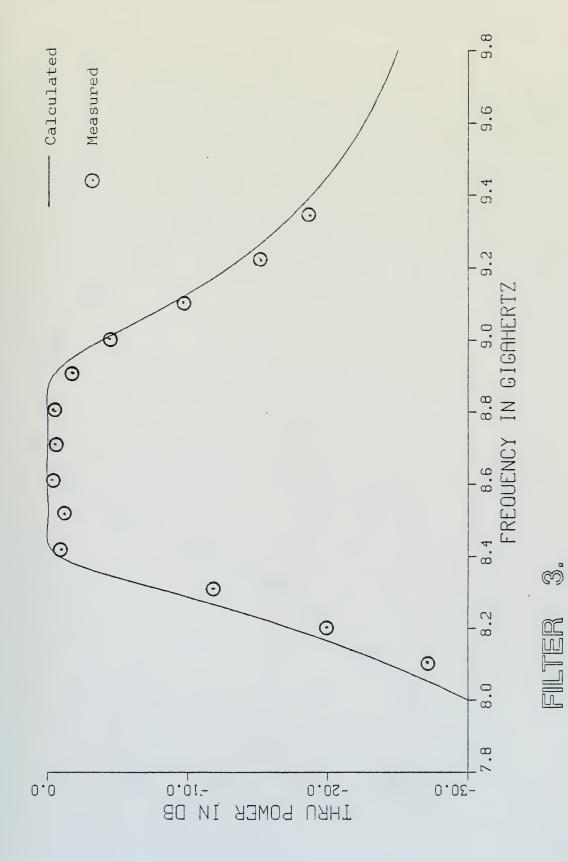


Fig. IV-3. Calculated and Measured Insertion Loss of Fin-line #3



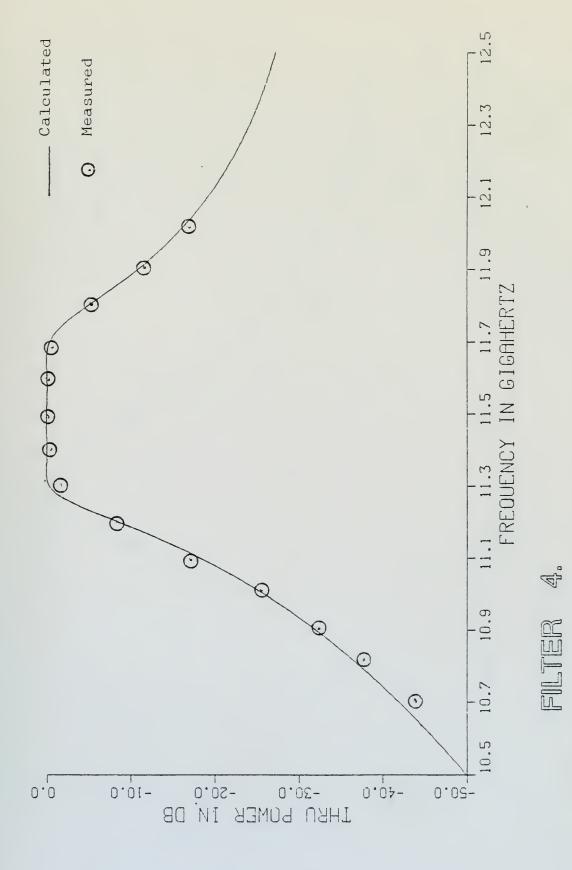


Fig. IV-4. Calculated and Measured Insertion Loss of Fin-line #4



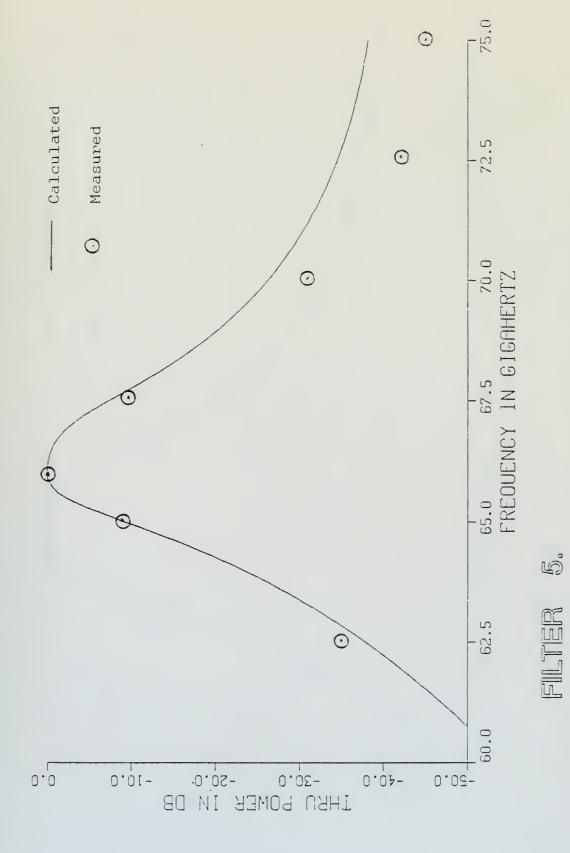


Fig. IV-5. Calculated and Measured Insertion Loss of Fin-line #5



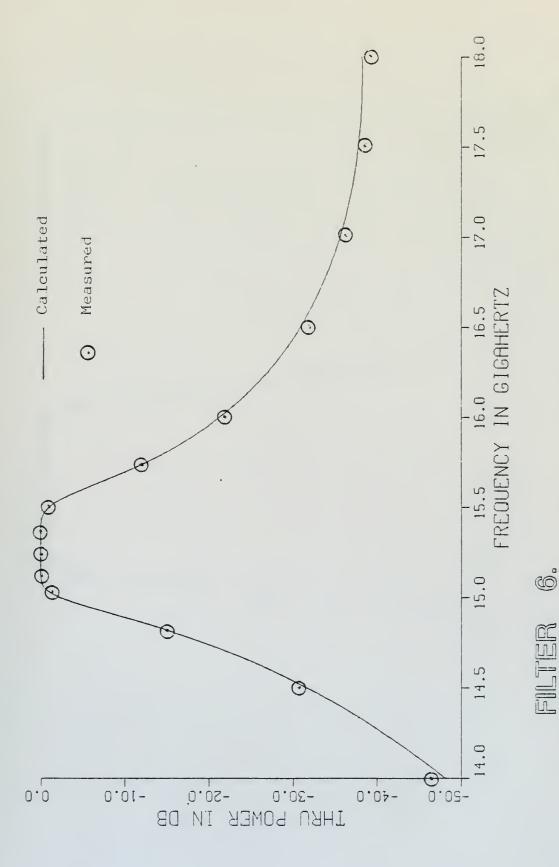


Fig. IV-6. Calculated and Measured Insertion Loss of Fin-line #6



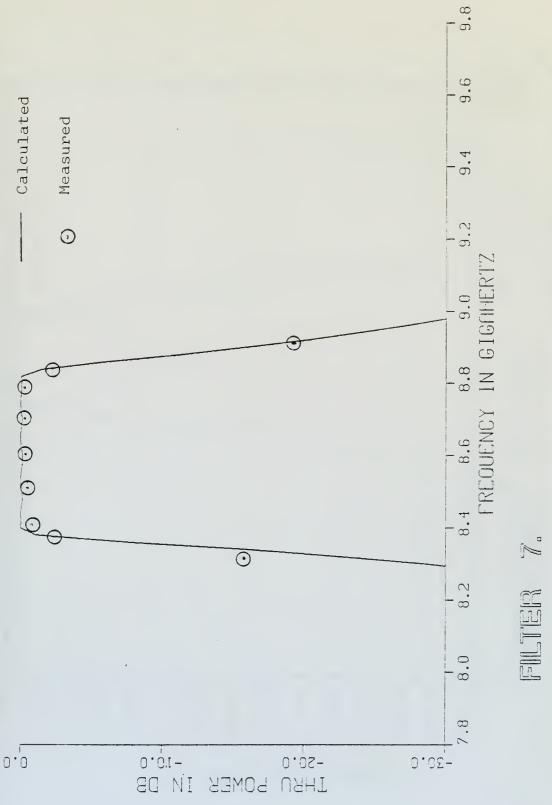
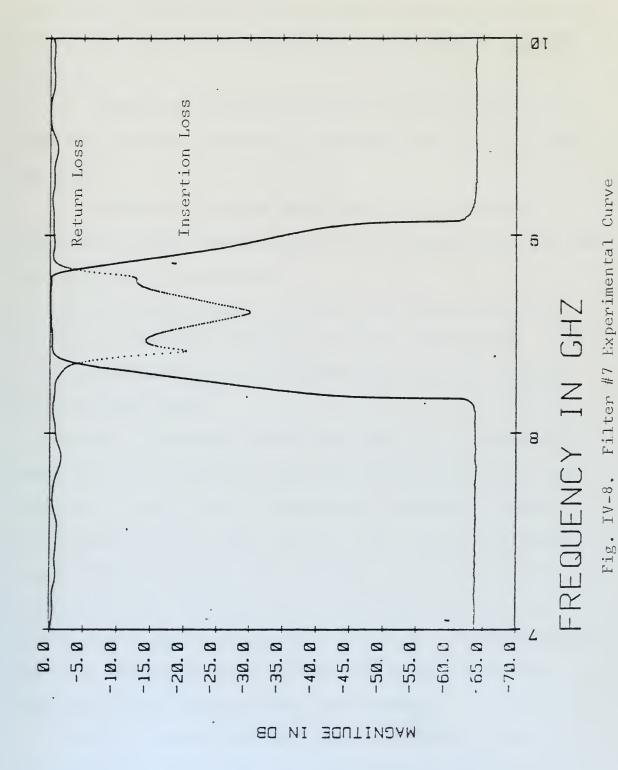


Fig. IV-6. Calculated and Measured Insertion Loss of Fin-line #7







Secondly, difficulties associated with the fabrication of the Class III filters suggests the need for an improved construction technique. Any new such technique needs to address the two major shortcomings of the method employed. These are:

- 1) Difficulty in longitudinally aligning the two separate fin-line sections to maintain symmetry about the x=0 axis.
- 2) Persistent problems associated with inadequate electrical contact between the filter's conducting fins and the waveguide's broad walls.

Failure to correct either of these recurring tendencies resulted in severely reduced electrical performance. Thus, the original motivation for developing Class III filters may have been false.

Thirdly, dielectric substrates should be tapered. For example, the narrow band Class III filter (filter #1) was originally constructed on rectangular dielectric slabs.

The entire insertion loss curve of this filter exhibited about 12 dB of ripple. The insertion loss data points plotted are for the same filter, but with the addition of dielectric ramps on all four slab ends. Passband ripple was thus reduced to about 0.1 dB, and ripple along the rejection skirts was no longer noticeable.

Finally, from an applications view point, it is important to realize that the strip widths exhibit a



correlation to passband ripple. That is, as the ripple is decreased, the fin-line strip dimension decreases. Strip width may be increased by either specifying a larger ripple, or by forcing additional resonators by requiring sharper rejection skirts. Wider strip widths are preferrable simply because of achieveable mechanical tolerances, and structural integrity.



## V. CONCLUSIONS AND RECOMMENDATIONS

The numerical analysis technique employed by the authors will always produce a result. The question is whether this result has any correlation to the physical world. It was found that this numerical analysis yields values essentially identical to those obtained by Shih [Ref. 2], who employed a completely different approach, and evaluated entirely different expressions. This suggests that both approaches are valid. Furthermore, the good agreement between all predicted filter responses and filter performance strongly suggests that the procedure applied was theoretically sound, and properly executed.

The CAD program produced by this study is not an end in itself, but a design tool. It should be used to answer a number of design questions. It is recommended that an organized study be conducted to determine the following:

- 1) Under what conditions is the center-line structure preferrable to a bilateral structure?
- 2) For the bilateral fin-line, what determines the optimal transverse fin-line location?
- 3) Are there optimal values for the relative permittivities in the Class II and Class III filter designs?
- 4) How sensitive is filter reponse to dimensional tolerances?



- 5) Does the current filter design meet engineering requirements, or is the use of a design/response optimization routine justified?
- 6) To what extent can the number of modes retained in the numerical analysis be reduced without adversely reducing accuracy?

Finally, to determine more accurately the degree of correspondence between simulated and physical filter performance it will be necessary to construct higher quality devices. In particular, the fin-line structure should be produced by photo-etching techniques to minimize dimensional errors.



## APPENDIX A

## REGION I EIGENMODES

The solution to the general wave equation for an EM wave in a guide with disimilar dielectric zones, as shown in Fig. II-1 (Region I), is found in a manner similar to that for a simple waveguide. However, in this instance, the eigenvalues for the propagation numbers are not explicitly expressible. Instead, a pair of transcendental equations is found (A.1 and A.2) which must be solved simultaneously to determine each eigenvalue.

For incident  $TE_{mo}$  (m odd) modes, the Z component of the magnetic field ( $H_Z$ ) in the wave guide will have a value of zero along the x=0 plane. It is convenient, therefore, to impose the condition of a "magnetic wall" along x=0, on which a boundary condition is that

$$H_z = 0$$

By referring to the geometry of the waveguide depicted in Fig. II-2, we can write the form of the  $\rm E_{\rm y}$  fields as:

$$\phi_{lm} = A_m \sin k_{lm} x + B_m \cos k_{lm} x$$

$$\phi_{um} = C_{m} \sin k_{um} x + D_{m} \cos k_{um} x .$$



At the magnetic wall, x=0, the boundary condition imposed is:

$$[-jw\mu H_Z = \frac{\partial Ey}{\partial x} - \frac{\partial Ex}{\partial y}]_{x=0} = 0 .$$

But since  $(\frac{\partial}{\partial y}) = 0$  for all fields, we can write:

$$[\frac{\partial Ey}{\partial x}]_{x=0} = 0 = [K_{lm}A_{m}cosk_{lm} - k_{lm}B_{m}sink_{lm}x] .$$

Whence,  $A_m = 0$ .

The second boundary condition to be satisfied is that:

$$[E_y]_{x=a} = 0 .$$

So that:

$$C_{m} \sin k_{um} a + D_{m} \cos k_{um} a = 0$$
.

Whence,

$$D_{m} = -C_{m} tank_{um} a.$$

Therefore, the  $\mathbf{E}_{\mathbf{V}}$  fields in Region I is given by:



$$\phi_{lm} = B_{m} \cos k_{lm} x;$$
  $0 \le x \le d$ 

$$\phi_{um} = C_{m} [\sin k_{um} x - \tan k_{um} a \cos k_{um} x]; \quad d \leq x \leq a.$$

Or, employing a trigonometric identity,  $E_{yu}$  may be expressed as:

$$\phi_{\text{um}} = C_{\text{m}} \frac{\sin k_{\text{um}} (a-x)}{\cos k_{\text{um}} a} = \Omega_{\text{m}} \sin k_{\text{um}} (a-x).$$

Finally,  $E_{yl} = E_{yu}$  at the boundary of the two zones. That is:

$$B_{m} \cos k_{lm} d = \Omega_{m} \sin k_{um} (a-d).$$

From which:

$$B_{m} = \Omega_{m} \frac{\sin k_{um}(a-d)}{\cos k_{lm}d} .$$

Likewise, the Z component of the magnetic field must be continuous across the boundary. So that:

$$[H_{zl} = H_{zu}]_{x=d} .$$



Whence:

$$B_{m} k_{lm} \sin k_{lm} d = \Omega_{m} \cos k_{um} (a-d).$$

Substituting for  $B_{m}$  above, we find that:

$$k_{lm} \tan k_{lm} d = k_{um} \cot k_{um} (a-d). \tag{A.1}$$

The second equation which the propagation numbers must satisfy is the usual dispersion relation for an EM wave propagation through a dielectric discontinuity [Ref. 4].

$$k_{1m}^{2} = k_{11m}^{2} - k_{0}^{2} (\epsilon_{11} - \epsilon_{1}).$$
 (A.2)

The amplitudes of the eigenmodes may be determined by applying the orthogonality property of the modes. That is, trigonometric identities may be used to rewrite the expressions for the eigenmodes as follows:

$$\phi_{lm} = B_m \cos k_{lm} x = \Lambda_m \cos k_{lm} x; \quad 0 \le x \le d$$

$$\phi_{um} = \Omega_{m} \sin k_{um} (a-x)$$
.



The orthogonality of these modes is exploited by integrating the product of mode pairs across the width of the guide.

$$\int_{0}^{a} \phi_{m} \phi_{n} dx = \delta_{mn}$$

so that,

$$\int_{0}^{d} \Omega_{n} \cos^{2} k_{lm} x + \int_{0}^{a} \Omega_{n} \sin^{2} k_{um} (a-x) dx = 1.$$

Whence,

$$\Omega_{\rm m} = \left[ \left( \frac{\sin^2 k_{\rm um}(a-d)}{\cos^2 k_{\rm lm}d} \right) \left( \frac{d}{2} + \frac{\sin^2 k_{\rm lm}d}{4 k_{\rm lm}} \right) \right]$$

+ 
$$(\frac{(a-d)}{2} - \frac{\sin^2 k_{um}(a-d)}{4 k_{um}})]^{-1/2}$$

$$\Lambda_{m} = \Omega_{m} \frac{\sin k_{um}(a-d)}{\cos k_{lm}d}.$$



# APPENDIX B

#### DERIVATION OF EXPRESSIONS FOR H-MATRIX ELEMENTS

The elements of the H-Matrix are defined by:

$$H_{mn} = \int_{0}^{a} \phi_{n} \psi_{m} dx$$

where

$$\phi_{n} = \{ \begin{cases} 0 \le x \le d \\ \phi_{n} = \{ 0 \le x \le d \end{cases} \\ \alpha_{n} \sin k_{un}(a-x) : d \le x \le a \end{cases}$$
 (II.A.1)

$$\psi_{m} = \{ (\frac{2}{d})^{1/2} \cos k_{1m} x : 0 \le x \le d \}$$

$$\psi_{m} = \{ (\frac{2}{a-d})^{1/2} \sin k_{um} (a-x) : d \le x \le a . \}$$
(II.A.4)

We have therefore:

$$H_{mn} = \int_{0}^{d} (\Lambda_{n} \cos k_{ln}x)(\frac{2}{d})^{1/2} (\cos k_{lm}x)dx$$

$$+ \int_{d}^{a} (\Omega_{n} \sin k_{un}(a-x))(\frac{2}{a-d})^{1/2} (\sin k_{um}(a-x))dx$$



$$H_{mn} = \Lambda(\frac{2}{d})^{1/2} \left[ \frac{\sin(k_{ln} - k_{Lm})d}{2(k_{ln} - k_{Lm})} + \frac{\sin(k_{ln} + k_{Lm})d}{2(k_{ln} + k_{Lm})} \right]$$

$$- \Omega_{\rm m}(\frac{2}{\rm a-d}) [\frac{\sin(k_{\rm un} + k_{\rm Um})(\rm a-d)}{2(k_{\rm um} + k_{\rm Um})} - \frac{\sin(k_{\rm un} - k_{\rm Um})(\rm a-d)}{2(k_{\rm un} - k_{\rm Um})}].$$

$$H_{mn} = \Lambda_{n} (\frac{2}{d})^{1/2} [(-1)^{m} \cos k_{1n} d] [\frac{k_{1m}}{k_{1n}^{2} - k_{Lm}^{2}}]$$

$$+ \Omega_{m} (\frac{2}{a-d})^{1/2} [(-1)^{m} \sin k_{un} (a-d)] [\frac{k_{Um}}{k_{un}^{2} - k_{Um}^{2}}].$$



# APPENDIX C

# JUNCTION SCATTERING MATRIX

Consider the waveguide discontinuity as a two-port junction problem, as represented schematically in Fig. II-3, where  $(a_n^+, a_n^-)$  and  $(b_n^-, b_n^+)$  are the amplitudes of the incident and scattered modal fields in Region I and II, respectively.

The electric field is a single-valued function of Z. Hence, at the junction boundary, Z=0, we can write:

$$\sum_{n=1}^{\infty} a_n^{+} \phi_n + \sum_{n=1}^{\infty} a_n^{-} \phi_n = \sum_{n=1}^{\infty} b_n^{+} \psi_n + \sum_{n=1}^{\infty} b_n^{-} \psi_n.$$
(C.1)

Similarly, the relationship for the x-component of the magnetic field,  $\mathbf{H}_{\mathbf{x}}$ , is:

$$\sum_{n=1}^{\infty} Y_n^{\mathsf{I}} a_n^{\mathsf{+}} \phi_n - \sum_{n=1}^{\infty} Y_n^{\mathsf{I}} a_n^{\mathsf{-}} \phi_n$$

$$= \sum_{n=1}^{\infty} Y_n^{\text{II}} b_n^{+} \psi_n - \sum_{n=1}^{\infty} Y_n^{\text{II}} b_n^{-} \psi_n. \qquad (C.2)$$



Toutilize the orthonormality of the eigenmodes, we multiply C.1 by  $\frac{\psi}{m}$  and integrate across the waveguide.

$$\sum_{n=1}^{\infty} (a_n^+ + a_n^-) \int_{0}^{a} \phi_n \psi_m dx = \sum_{n=1}^{\infty} (b_n^+ + b_n^-) \int_{0}^{a} \psi_n \psi_m dx$$

$$\sum_{n=1}^{\infty} (a_n^+ + a_n^-) H_{mn} = b_m^+ + b_m^-.$$
 (C.3)

We define the values  $H_{mn}$  as:

$$H_{mn} = \int_{0}^{a} \phi_{n} \psi_{m} dx.$$

Likewise, multiplying equation C.2 by  $\phi_{\mbox{\scriptsize m}}$  and integrating yields:

$$Y_{m}^{I}(a_{m}^{+}-a_{m}^{-})=\sum_{n=1}^{\infty}(b_{n}^{+}-b_{n}^{-})Y_{n}^{II}H_{nm}.$$
 (C.4)

The wave impedances of the normal modes,  $Y_n$ , may be represented as diagonal matrices, the values  $H_{mn}$  as an m by n matrix, and the amplitudes of the normal modes as vectors. Equations C.3 and C.4 may then be expressed as follows (subscripts have been omitted for simplicity).



$$[H][a^{+} + a^{-}] = [b^{+} + b^{-}]$$
 (C.3.1)

$$[Y^{I}][a^{+} - a^{-}] = [H^{T}][Y^{II}][b^{+} - b^{-}].$$
 (C.4.1)

C.3.1 is solved for [b<sup>+</sup>]:

$$[b^{\dagger}] = [H] [a^{\dagger} - a^{-}] - [b^{-}].$$

Substituting [b<sup>+</sup>] into C.4.1:

$$[Y^{I}+H^{T}Y^{II}H][a^{-}] = [Y^{I}-H^{T}Y^{II}H][a^{+}] + 2[H^{T}Y^{II}][b^{-}].$$

Whence,

$$[a^{-}] = [Y^{I} + H^{T}Y^{II}H]^{-1} [Y^{I} - H^{T}Y^{II}H] [a^{+}]$$

$$+ 2[Y^{I} + H^{T}Y^{II}H]^{-1} [H^{T}Y^{II}][b^{-}]. \qquad (C.5)$$

Likewise, C.4.1 may be solved for [a] and this substituted into C.3.1 to yield:

$$[b^{+}] = [I+HY^{I^{-1}}H^{T}Y^{I}]^{-1} \ 2[H][a^{+}]$$

$$- [I+HY^{I^{-1}}H^{T}Y^{I}]^{-1} \ [I-HY^{I^{-1}}H^{T}Y^{I}][b^{-}]. \tag{C.6}$$



But from standard scattering matrix notation with the values denoted as in Fig. II-3, we can also write:

$$\begin{bmatrix} a^{-} \\ b^{+} \end{bmatrix} = \begin{bmatrix} S_{11} & S_{12} \\ S_{21} & S_{22} \end{bmatrix} \begin{bmatrix} a^{+} \\ b^{-} \end{bmatrix}$$

or,

$$[a^{-}] = [S_{11}][a^{+}] + [S_{12}][b^{-}].$$

$$[b^{+}] = [S_{21}][a^{+}] + [S_{22}][b^{-}].$$
(C.7)

By comparing C.5 and C.6 with C.7, it is clear that:

$$[s_{11}] = [Y^{I} + H^{T}Y^{II}H]^{-1}[Y^{I} - H^{T}Y^{II}H]$$

$$[s_{12}] = 2[Y^{I} + H^{T}Y^{II}H]^{-1}[H^{T}Y^{II}] = [I - s_{11}]H^{T}$$

$$[s_{21}] = 2[I + H[Y^{I}]^{-1}H^{T}Y^{II}]^{-1}[H] = H[I + s_{11}]$$

$$[s_{22}] = [I + HY^{I} + H^{T}Y^{II}]^{-1}[I - HY^{I} + H^{T}Y^{II}] = -H[s_{11}]H^{T} .$$



# APPENDIX D

# SCATTERING MATRIX FOR A FINITE LENGTH SEPTUM

A generalized scattering matrix technique in a manner similar to that of Shih in [Ref. 3] is applied to obtain the two port scattering matrix for the finite septum.

Consider a  $TE_{mo}$  -type wave incident from Region I at the junction A. The field at this junction is comprised of the vector sum of all the eigenmodes in each region. Some of the energy will be reflected at the boundary, propagating in  $(\phi_m)$  modal fields in the minus Z direction. The remainder of the energy will be transmitted into Region II in  $(\psi_p)$  modal fields in the positive Z direction. After traveling a distance D, the transmitted modes encounter the second junction, B, where again some energy is reflected, and some transmitted. Multiple reflections of the modes between junctions A and B is inherently accounted for in the matrix manipulations which yield the scattering matrix for the finite septum.

In C a single junction was analyzed as a two-port scattering matrix,  $[S_A]$ . Each of the four elements of this scattering matrix,  $[S_{A11}]$ ,  $[S_{A12}]$ ,  $[S_{A21}]$ , and  $[S_{A22}]$ , is a matrix of infinite dimensions, corresponding to the infinite set of eigenmodes in both regions (equation C.8).

The junction B is identical to A, except for orientation.

It may be shown, therefore, that the scattering matrix for



the isolated junction B is related to the isolated junction at A by the following:

$$[S_{A11}] = [S_{B22}]$$

$$[S_{A12}] = [S_{B21}] \cdot$$

The effects of the wave propagating (for propagating modes) or attenuating (for evanescent modes) along the strip length are accounted for by a transmission matrix,  $[T_D]$ , defined as:

where [I] is the identity matrix, [0] is the null matrix, and [T] is a diagonal matrix of infinite size. The diagonal elements of [T] are:

$$T_{ii} = e^{-\gamma_{ii}D}$$
.



The combined effect of the single junction A followed by the transmission along the strip for a distance D is given by the scattering matrix  $[S'_A]$ .

$$[s'_A] = [T_D] [s_A] [T_D]$$

Finally, the net effect of the finite septum is determined as follows.

$$a^{-} = S_{A11}^{'} a^{+} + S_{A12}^{'} b^{+}$$
 (D.1)

$$b^{-} = S_{A21}^{'} a^{+} + S_{A22}^{'} b^{+}$$
 (D.2)

$$c^- = S_{B11} c^+ + S_{B12} d^+$$
 (D.3)

$$d^- = S_{B21} c^+ + S_{B22} d^+$$
 (D.4)

$$b^{+} = c^{-}$$
 (D.5)

$$c^{+} = b^{-}$$
 (D.6)

We define an equivalent matrix for the finite septum,  $[S_E]$ , by:

$$a^{-} = S_{E11} a^{+} + S_{E12} d^{+}$$
 (D.7)

$$d^{-} = S_{E21} a^{+} + S_{E22} d^{+}$$
 (D.8)



With the equalities given in equations D.5 and D.6, we substitute equation D.2 into D.3 to yield:

$$d^{-} = [s_{A21}^{'}][I - s_{B22} s_{A11}^{'}]^{-1} [s_{B21}] a^{+}$$

$$+ [s_{A22}^{'}][I - s_{B22} s_{A11}^{'}]^{-1} [s_{B22} s_{A12}^{'}] + s_{A22}^{'}] d^{+}.$$
(D.9)

Likewise, we substitute equation D.4 into D.1 to yield:

$$a^{-} = [s'_{A11} + s'_{A12}[I - s_{B11} s'_{A22}]^{-1} [s_{B11} s'_{A21}]]$$
  
+  $[s'_{A12}][I - s_{B11} s'_{A22}]^{-1} [s_{B12}] d^{+}$  (D.10)

The matrices which are the elements of the equivalent scattering matrix may be written down by simply comparing equations D.9 and D.10 with equations D.7 and D.8.

$$S_{E11} = [S_{A11}] + [S_{A12}][I - S_{B11} S_{A22}]^{-1} [S_{B11} S_{A21}]]$$
 $S_{E12} = [S_{A12}][I - S_{B11} S_{A22}]^{-1} [S_{B12}]$ 
 $S_{E21} = [S_{A21}][I - S_{B22} S_{A11}]^{-1} [S_{B21}]$ 



$$s_{E22} = [s_{A21}^{\prime}][I - s_{B22}^{\prime} s_{A11}^{\prime}]^{-1} [s_{B22}^{\prime} s_{A12}^{\prime}] + [s_{A22}^{\prime}].$$
 (D.11)



#### APPENDIX E

# CASCADING SEVERAL FINITE LENGTH SEPTA

The fin-line filter is simply a series of finite length septa separated by transmission line sections. The net effect of the entire filter structure may be represented in a single "total" scattering matrix which accounts for the combined effects of each septa/transmission line section. This total scattering matrix is obtained by combining each septum equivalent matrix in a manner precisely analogous to that used in D to develop the equivalent matrix for a finite septum. That is, in D, expressions for the net effect of two discontinuities separated by a transmission line section were obtained (equation D.11). It is now necessary to express the net effect of two septa separated by a transmission line section. Consequently, the equivalent scattering matrix of two separated septa may be found by use of the equation D.11, with the appropriate scattering matrices for the septa substituted for the junction scattering matrix. More than two septa are cascaded by repeated application of D.11. Due care must be exercised to assure that the propagation numbers used in the transmission matrix correspond to the appropriate wave number and region of waveguide propagation.



# APPENDIX F

# COMPUTER AIDED DESIGN PROGRAM

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S
                                                   EGUIDE
FRUTHER
TONS OR
SHOULD
A SES THE
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WRITE(6,1578) COSTWI(JI), DIBECS(JI), NOS, NUR
567 CONTINUE
WRITE(6,1599)
WRITE(6,1599)
PORMAT (1 LISTED BELOW IS THE PUWER TRANSMITTER
NRITE(6,1599)
PORMAT (1 LISTED BELOW IS THE PUWER TRANSMITTER
DO 20 I = 1, FRESTE
CALL TREQ(PI,A, LAM, NMODES, D; KA, KD, KE, NPMGD
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",F12.6 ,4X,F8.4,4X
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HE PURPOSE OF THIS SUBROUTINE IS TO GET THE INFORMATION
FROM THE USER NECESSARY FOR THIS PROGRAM TO RUN.
                                                                                                                                              S
                                                                                                                                                                                                                                                          5(5512(1,1)))**2.
                                                                                                                                          CALL CAS CAD ( SMI 1, SMI 2, SM21, SM22, SBI, SB2, COSTWI, DIBEC: NMODES, NSTRIP, SSI1, SSI2, S$21, S$22)
                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 , FRE INT
CASCAD(SM11sSM12sSM21sS22).

- 0TY(1) = 20.0 *DL0G10(CDABS(SS21(1,1)))

- 1 TEM = 1 CDABS(SS11(1,1)) 1**2.0 + (CDABS(SS12(1))

- 1 TEM = 1 CDABS(SS11(1,1)) 1**2.0 + (CDABS(SS12(1))

- 1 TEM = 1 CDABS(SS11(1,1)) 1**2.0 + (CDABS(SS12(1))

- 1 TEM = 1 CDABS(SS11(1,1)) 1**2.0 + (CDABS(SS12(1))

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*8 FRQINI,FRQEND,YLOW,YSTEP,YHIGH,BP
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ER FRESTE,NMODES,NPMODE,FILNO
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COMMENTS
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                                                                                                                                                                                          WRITE (6,303)

FORMAT (** NOW YOU WILL INPUT THE FILTER PARAMETERS ** 564ND **

8. ENTER THE BEGINNING AND END FREQUENCY FOR THE PASSBAND **

8. OF THE FILTER ** )

READ (5,*) FILES ** )

READ (5,*) FILES ** )

FORMAT (5x FILE 8 5x FILE 8) / )

FORMAT (5x FILE 8 5x FILE 8) / )

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READ (5,*) A,D
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                                                                                WRITE (6,307)
FORMAT('ENTER THE FREQUENCY INTERVAL IN MHZ AND READ(5,*) FREINT FRESTE WRITE(6,357) FREINT, FRESTE FORMAT(5x,F12,8,5x,15,7)
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= IFIX(MODE)
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FORMAT ( ENTER THE INTERVAL 8 AND THE END POINT FOR THE READ(5,*) FROINT FROEND WRITE (6,358) FROINT FROEND FORMAT (5x,F12.8,7)
                                                                                                                                                                         7
                                                                                                                                                                  MRITE (6,309)
FORMAT("ENTER THE YAXIS
FOR THE GRAPH IN DB.")
READ(5,*) YLOW, YSTEP
WRITE(6,359) YLOW, YSTEP
FORMAT(5X,F12.8,5X,F12.8,
                                                                                                                                                                                                                 YAXIS
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                                                                                                                                                                                                          WRITE (6,31C)
FORMAT("ENTER THE
READ(5,*) YHIGH
WRITE(6,360) YHIGH
FORMAT(5X,F12.8,7)
                                        (6.306)
THE FINAL
THE BEGINN I
5.*) FREQ
6.356) FREQ
(5x, F12.8,7)
      WRITE (6,305)
FORMAT (* NOW ENTER
READ (5,*) XDB
WRITE (6,355) XDB
FORMAT (5X,F12.8,/)
           W ENTER
XDB
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COST WI, DIBECS, A, D, ERU, ERL, FREG, FROINT, NPM DDE, FREINT, FILNO, YLDW, YSTEP,
                                                                                                                 PI = 3.14159265
WRITE(6.100)
FORMAT('IHIS IS A PROGRAM USED TO TEST PRE-DESIGNED FILTERS.
8' YOU WILL BE PROMPTED FOR REQUIRED INPUTS. ')
                                                                                                                                                                                                                DES I GN.
                                                                            REAL *8 COSTWI(20), DIBECS(19), A, D, ERU, ERL, FREQ, FRQEND REAL *8 PI, C, FREINT, FRQINT, YLOW, YSTEP, YHIGH REAL MODE INTEGER NOS, NOR, FRESTE, NMODES, NPMODE, FILNO
                                                                                                                                                                                                                                                                                                                                  CENT IMETERS
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FORMAT(1 EN THE RESONATOR
DO 105 J = 1,NOR
READ(5,*) DIBECS(J)
WRITE(6,155) J, DIBECS(J)
FORMAT(1 RESONATOR NO ',13,*
                                                                                                                                                                                                                                             .
                                                                                                                                                                                                                                                             WRITE(6102)
2 FORMAI(1 EN 1ER THE STRIP WID
DO 103 I = 1,NOS
READ(5,*) COSTWI(1)
WRITE(61153) I,COSTWI(1)
3 FORMAI(1 STRIP NO 1,13,1 = 1
                                            FRGEND, PI, FRESTE, C. NMODES YHIGH)
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READ(5,*) NCS
NOR = NGS - 1
WRITE(6,151) NOS,NOR
FORMAT(5x,* NOS = ',15,5x
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(* EN TER THE FILTE
(*) FILNO
6,150) FILNO
(* FILTER *,15,7)
F ( (MODE-NPMODE 1.G T.O.
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FORMAT(
READ(5,*
WRITE(6,*
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                                                                                                                                               WRITE(6107)
FORMAT(1 INPUT THE COEFFICIENTS FOR THE FORST THE CENTER OF THE GUIDE AND SEREMENTHE SEPTUM WALL AND WAVEGUID WRITE(6157) ERLERUFORMAT(5x, F12.8, 7)
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FORMAT( EN 1ER THE FREQUENCY THE NUMBER OF STEPS THAT YOUR READ(5.*) FREINT FRESTE WRITE(6.159) FREINT, FRESTE FORMAT(5X, F12.8, 5X, 15, 7)
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R THE
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9 FURMAT( ENTER THE INTERVAL

8 AND THE END POINT FOR THI

READ(5,*)FRCINT,FRGEND

WRITE(6,160) FRGINT,FRGEND

O FORMAT(5X,F12.8,7)
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F12.8
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6 FORMAT( EN TER THE )
8 FUR THE GRAPH IN READ( 5, *) YLOW, YST WRITE (6,359) YLOW, YST FORMAT (5X,F12.8,5X,0)
                (6,106)

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(6,156) A,D

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, ERREU, X3
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T1 = X2**2.0

IF(T1.GT.T2) GOTO 371

KXA = ((T2-T1)**0.5)

TREQ2 = X2*(DCOS(X2*(A-D)))*(DCOSH(KXA*D)) -

KXA*(DSINH(KXA*D)*DSIN(X2*(A-D))) -

GOTO 372

KXA = ((T1-T2)**0.5)

TREQ2 = KXA*DSIN(KXA*D)*DSIN(X2*(A-D)) -

TREQ2 = KXA*DSIN(KXA*D) *D

DCOS(X2*(A-D)) GOTO 380
                                                                                                                                                                                                                                                                                                                                                                                                                                                             *
                                                                                                                                                                COMPLEX *16 KA(30), KD(30), KE(30), J
REAL *8 ERU, ERL, PI A, LAM, T1, X1, T2, KXA, TREQ1, D, X2, TREQ2,
REAL *8 KKK D, KKKA, FT, TREQ3, FTEMP, W, KXD
REAL XTEMP
INTEGER NMODES, NPMODE, NTEMP
                                                                                                                                              ERU.
                                                                                                                                                                                                                           J = (0.0,10)

IF (ERU-LT-ERU, GOTO 309

X1= (PI/(8.0*A))

T2 = (((2.0*PI)/LAM)**2.0)*(ERU-ERL)

T2 = (((2.0*PI)/LAM)**2.0)

T3 = X1**2.0

T4 = X1**2.0

T6 (T1.6T-T1)**0.5)

T7 = X1**2.0

T8 (T2-T1)**0.5)

T8 (T1.6T-T1)**0.5)

T8 (T1.6T-T1)**0.5)

T8 (T1.6T-T1)**0.5)

KXA = (T1-T2)**0.5

KXA = (T1-T2)**0.5

TREQ1 = KXA*D SIN(KXA*D)*D SIN(X1*(A-D)) - (X1*DCOS(KXA*D)*DCOS(X1*(A-D)) - (X1*DCOS(KXA*D)*DCOS(X1*(A-D))) - (X1*DCOS(KXA*D)*DCOS(X1*(A-D)))
                                                                                                                                                                                                                                                                                                                 1
                                                                                                                                              UBROUTINE TREQ (PI, A, LAM, NMGDES, D, KA, KD, KE, NPMODE
                                        = 30
(C/A)*(FLOAT(NMODES))
= IFIX(MODE)
= NPMODE).61.0.5) NPMODE=NPMODE+1
 FIGH 16H 12.8 1/1
           5X360
                                       NM ODE S = (ND MODE = (ND MODE = 1)
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                                                                                                                                                                                                                                                                                              SIN(X3*(A-D))-(X3*)COS(KXA*D)
                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                  KXD*DCOS (XI*D)
GOT C 351

ERREG = (X2 * 0.00001)

TTEMP = DABS((TREQ1+TREQ21/2.0)

IF(TTEMP.LE.ERREQ) GOTO 381

X3 = (X1+X2)/2.0

T1 = X3 **2.0

T1 = X3 **2.0

IF(T1.6T.T2) GOTO 386

KXA = (T2-T1)**0.5)

TREQ3 =-X3*(DCOS(X3*(A-D)))*(DCOSH(KXA*D)) -

KXA*(DSINH(KXA*D))*(DSIN(X3*(A-D)))

KXA*(DSINH(KXA*D))*(DSIN(X3*(A-D)))

KXA = (T1-T2)**0.5

TREQ3 = KXA*DSIN(KXA*D)*DSIN(X3*(A-D)))
                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                  *D)) * (DSINH(K XD* (A-D)))
K XD* (A-D) ) J* (DCOS (X1*D))
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(A-D1)
.0*A*ERL1)
                                                                                                                                                                                                                                                                                                                                         387
                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                              KXA = (T2 - TT)**0.5

KA(I) = J*KXA

XI = XI + (PI/(8.0*A))

CONTINUE

GOTO 307

= (PI/(8.C*A))

= ((2.0*PI)/LAM)**2.0)*(ERL-ERU)

310 N = 1,0MODE S

TI = XI**2.0
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(A-D)
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( x1+x2)/2.
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X1*( DS
KXD* (C
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X1 = X3
TREC1=TREQ3
GOTG 380
X2=X3
TREQ2=TREQ3
GOTG 380
T1 = (X1+X2)/2
KD(I) = T1
TT = T1**2
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QI = X1*DSIN

COS (KXD)

X2**2.
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KXD =
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KXD = (1
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DCOS (X2 #D)
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                                                                                                      KXD *
*0.5)
N(X2*D)) *(DSINH(KXD*(A-D)))
OSH(KXD*(A-D))) *(DCOS(X2*D)
                                                                                                                                                                                                                                                                                                                                                                  1-0-1
X3*D1
                                                                                                                                                              XI = x2

GOTO 311

KRREQ = (x2 * 0.00001)

TEMP = DABS((TREQ1+TREQ2)/2.0)

F(TTEMP.LE.ERREQ) GOTO 341

X3 = (X1 + X2)/2.0

T1 = X3 ** 2.0

F (T1 - GT - T2) GOTO 346

KXD = ((T2-T1)**0.5)

TREQ3 = X3*(DSIN(X3*D1)*(DSINH(KXD*(A-COS))

KXD*(DCOSH(KXD*(A-D))) * (DCOS(X)
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A-011
                                                                               KXD = ((TI-T2)**0
TREQ2 = X2*DSIN(X)
DC CS(KXD*(A-T)
IF ((TREQ1*TREQ2)*)
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X3 = KLE .

1 = X3 **

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KXD = (1
TREQ3 =
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KXD = ((
TREQ2 =
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REGION
                                                                                          AG(KA, KE, GAMMA1, YI, GAMMA2, Y2,PI,LAM, ERU, ERL, W, U0,NMODES, NPMODE)
                                                                                                                                                                                                                                                                                           CAMMAS
                                                                                                                                                                                                                          LOWER
                                                                                                                *16 WUJ, TEMPI, GAMMA1(30), GAMMA2(30), Y1(30), Y2(30)
*16 KA(30), KE(30)
ERU, ERL, PI, LAM, WUO
NMO DES, NPM ODE, NTEMP
                                                                                                                                                                                                                                                                                           REST OF REGION TWO VARIABLES INTO
                                                                                                                                                                                                                           THE
NI EMP = NPMCDE + 1

If (NI EMP .GI .NM ODES)

DO 334 I = NIEMP, N MODES

KK KA = ( ((2.0*F LOAT (I-NIEMP +1))-1.0) *PI/(2*D))

KE (I) = KKKA

334 CONTINUE

335 NI EMP = NIE PP

RE TURN

END

C**TREQ

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                                                                                                                                                                                                                          UPPER
                                                                                                                                                                                                                                         TEMP1 = (ERL*(((2.0*P1)/LAM)**2.)

NTEMP = NPMCDE + 1

IF (NTEMP.GT.NMODES) GOTO 4777

DO 471 I = NTEMP,NMODES

GAMMA2(I) = CDS QRT (KE(I)**2 - Y2(I) = GAMMA2(I)/WUJ
                                                                                                                                                                            0
                                                                                                                                                                          *(((2.0*PI)/LAM)**2
1,NMODES
) = CUSQRT(KA(I)**2
GAMMAI(I)/WUJ
                                                                                                                                                                                                                           WE MUST COMBINE THE
                                                                                                                                                                                                                                                                                           出出
                                                                                                                                                           J = (0.0,1.0)

TEMP1 = ERL*((2.0
DO 451 I = 1,NMODE
GAMMAI(I) = CUS
YI (I) = GAMMAI(
                                                                                                                                                                                                                                                                                         ACDS
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COMPLEX
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                                                                                                       *16 SM11(5,5), SM12(5,5), SM21(5,5), SM22(5,5), GAMMAA, SM2 (6,5), CAPLAM (30), CAPLAM (30), CAPDME (30), S11(30,30), S12(30,30), S12(30,30), S12(30,30), S12(30,30), S12(30), S12(30),
               A. D. NMODES
                                                                                                                                                                                                                                                                                                                                                                                                                  (NMODE S
((D /2.0) + ((CDSIV(2.0*KA(I)*D)))/(4*KA(I))
((CDSIN(KD(I)*(A-D)))**2)
/((CDCOS(KA(I)*D))**2)
/((A-D)/2.0)-((CDSIN(2.0*KD(I)*(A-D)))/(4*
(((A-D)/CDSQRI(CTI(I) + CT3(I)/CT2(I)))
() = 1.000/(CDSQRI((CTI(I)*CT2(I)) + CT3(I)
                                                                                                                                                                                                                                                                                                                                                                                                                                                              CT3(1)= 1.

CAPLAM(1) = 1.0c.

CAPLAM(1) = 1.0c.

ALPHA = (12.0/(A-D))**0.5)

ALPHA = (12.0/(A-D))**0.5)

BELTA = (12.0/(A-D))**0.5)

DELTA = (12.0/(A-D))**0.5)

DELTA = (12.0/(A-D))**(A-D))*(KE(M)/

BELTA = (12.0/(A-D))**(A-D))*(KE(M)/

HMN N = 1.NM NDDE S

CONTINUE = NTEMPN NDDE S

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PAKAMETERS
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(YREG2, AB, NMODES, AA)
(YREG2, AB, NMODES, S223)
(AA,NMODES, NMAX, S22, NMODES, NMAX, O, WA, IER)
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| = 1,NMODES
|J) = $12(J,I)*Y1(J)/Y2(I)
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(HT, AA, NMODES, S12)
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Sil(1, IM(J)
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(AA, DEN, NMODES, S11
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1, NMODES
1, GOTO 582
1 = (1.0,00)
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